



# **Preventing Sexual Harassment at Work Policy**

**May 2025**

**Approved by the Trust Board on: 21<sup>st</sup> May 2025**

**To be reviewed on: 21<sup>st</sup> May 2026**

## **1 Introduction**

- 1.1 Derby Diocesan Academy Trust (also referred to as DDAT and Trust) and its schools are committed to providing a safe, inclusive and supportive work environment free from sexual harassment and ensuring the safety and dignity of all employees. Sexual harassment and victimising staff who report sexual harassment is unlawful and will not be tolerated. This policy outlines our commitment to preventing and addressing sexual harassment in the workplace.
- 1.2 Sexual harassment can have very serious consequences for individuals, the Trust and its schools including loss of morale, poor work performance, impact of wellbeing and ill health, increased turnover of staff, legal claims, and damage to our reputation.
- 1.3 We will take reports of sexual harassment seriously and address them promptly and confidentially. Sexual harassment by an employee may be treated as gross misconduct leading to dismissal without notice pay under our Disciplinary Procedure.
- 1.4 Whilst any action in response to conduct amounting to sexual harassment will depend on the facts and circumstances, the Trust and its schools is committed to taking and enforcing a zero-tolerance approach of sexual harassment towards its staff.
- 1.5 Any report of sexual harassment, including those carried out by third parties, as well as staff of the Trust and its schools will be initially dealt with in accordance with this policy.
- 1.6 The Trust and its schools will ensure that whenever management receives a complaint of sexual harassment or otherwise knows of possible sexual harassment occurring, they will keep the investigation confidential, as far as it is reasonably possible to do so. All staff, including line managers, are required to co-operate with any investigation of sexual harassment.

## **2 Scope and purpose of this policy**

- 2.1 This Policy covers the prevention of sexual harassment that may take place within and/or outside of the workplace in the course of employment or engagement, including but not limited to working from home, at work-related events or social functions, on social media, or an outside of work situation where the incident is relevant to a person's suitability to carry out their role. This should be cross-referenced to any staff declarations of personal relationships within the Trust.
- 2.2 This Policy sets out a framework for line managers to deal with sexual harassment and it applies to:

- 2.2.1 all staff including employees, irrespective of seniority, service, working hours, or type of contract of employment (permanent and fixed term), temporary and contract workers, consultants, office holders, apprentices, volunteers, those undertaking work experience, agency workers; and
- 2.2.2 third parties interacting with those listed in section 2.2.1, such as clients, customers, and suppliers or visitors to the premises.
- 2.3 This policy does not form part of an employee's contract of employment, and it may be amended at any time. The Trust may also vary application of this procedure, including any time scales for action, as appropriate.
- 2.4 The CEO has overall responsibility for the effective operation of this policy but has delegated responsibility for overseeing its implementation to the Trusts COO and DDAT Central HR Team.
- 2.5 You can refer any questions about this policy to your line manager or the DDAT Central HR Team via [HR@ddat.org.uk](mailto:HR@ddat.org.uk).

### **3 Unwanted conduct: what is sexual harassment?**

- 3.1 Sexual harassment is unwanted conduct of a sexual nature. It can be physical, verbal or non-verbal and it has the purpose and/or effect of violating a person's dignity, or creating an intimidating, hostile, degrading, humiliating or offensive environment for them.
- 3.2 Sexual harassment covers a range of conduct, which can include but is not limited to the following:
  - 3.2.1 Unwelcome sexual advances or suggestive behaviour, which the alleged harasser may or may not perceive as harmless, including but not limited to:
    - (a) Suggestive looks, staring, or leering;
    - (b) Sexual propositions;
    - (c) Requests or demands for sexual favours; or
    - (d) Sexual gestures.
  - 3.2.2 Sexual comments, stories, or jokes.
  - 3.2.3 Comments on someone's appearance, with explicit sexual references or with sexual undertones and implications.
  - 3.2.4 Intrusive questions about a person's private or sex life, or a person discussing their own sex life without a clear invitation to do so.

- 3.2.5 Posting and/or sharing offensive social media and/or internet content of a sexual nature.
  - 3.2.6 Sending, displaying, and/or circulating sexually explicit material, including but not limited to emails, text messages, WhatsApp messages, video clips, and images sent by mobile phone or posted on the internet.
  - 3.2.7 Initiating or spreading sexual rumours about a person.
  - 3.2.8 Unwanted physical conduct or “horseplay” including unwelcome touching, hugging, massaging, patting, pinching, pushing, grabbing, or kissing.
  - 3.2.9 Continually asking for and/or suggesting sexual activity after it has been made clear that the questions and/or suggestions are not welcome.
  - 3.2.10 Wolf-whistling and catcalling.
  - 3.2.11 Treating someone less favourably because they have submitted or refused to submit to unwanted conduct of a sexual nature, or that is related to gender reassignment or sex, in the past.
- 3.3 Anyone can experience sexual harassment, regardless of their sex and the sex of the alleged harasser. It can be carried out by men, women and people of any gender identity or sexual orientation.
- 3.4 The Trust and its schools will consider any aggravating factors, for example abuse of power by a senior employee over a more junior colleague, and/or previous harassing behaviour by the harasser, and intersecting forms of harassment such as racialised sexual harassment and sexual harassment targeted at LGBTQ plus people, when deciding what is the appropriate disciplinary action to take.

#### **4 Purpose of effect of unwanted conduct**

- 4.1 If unwanted conduct is intended to violate a person’s dignity or create an offensive environment, the effect that it has on the individual is irrelevant. This is because the alleged harasser’s intention alone can establish the offence, regardless of the actual impact on the injured party, this principle emphasises that the mere act alone is sufficient to be considered sexual harassment.
- 4.2 Conduct can have the effect of violating a person’s dignity or create an offensive environment and so amount to sexual harassment regardless of whether the alleged harasser intended for this outcome.
- 4.3 Conduct does not need to be explicitly objected to in order to be considered unwanted.

- 4.4 Unwanted conduct can occur as a single instance and does not need to be repeated to constitute sexual harassment.
- 4.5 Conduct does not have to be specifically directed at an individual to constitute sexual harassment as it may still create an intimidating, offensive, or degrading environment for them.
- 4.6 Sexual conduct that was previously welcomed can become unwanted conduct amounting to sexual harassment at any time.

## **5 Active prevention of sexual harassment**

- 5.1 The Trust and its schools recognise that employers are required by law, to take reasonable steps to prevent sexual harassment of employees in the course of their employment. The Trust and its schools recognise that whilst there is no prescribed minimum on what an employer can do to prevent sexual harassment at work, there are reasonable steps that can help prevent sexual harassment including but not limited to:
  - 5.1.1 Ensuring that the Preventing Sexual Harassment at Work Policy is in place, regularly reviewed and well communicated to all, including publishing the policy on the Trusts website.
  - 5.1.2 Ensuring that all policies are cross referenced and interact well with the Preventing Sexual Harassment at Work Policy e.g. the relevant DDAT Code of Conduct.
  - 5.1.3 Ensuring that the effectiveness of policies is evaluated through means such as centralised records allowing trends to be analysed, anonymous stakeholder surveys, asking questions to obtain an accurate picture of sexual harassment within the workplace.
  - 5.1.4 Ensuring that proactive opportunities are provided to workers to disclose or raise issues about sexual harassment such as but not limited to, sickness or return to work meetings, 1 to 1s, performance meetings and exit interviews.
  - 5.1.5 Providing training to Line Managers on how to handle a sexual harassment complaint.
  - 5.1.6 Ensure all members of staff, LTC members, Trustees and pupils where appropriate understand how to report sexual harassment which they may have been subject to or witnessed and the process to follow if sexual harassment is disclosed to them.
  - 5.1.7 Regular mandatory anti-sexual harassment training for all, including acceptable/non-acceptable conduct via the Trusts training platform, The National College.

- 5.1.8 Operating a zero-tolerance workplace culture in relation to sexual harassment.
  - 5.1.9 Undertaking risk assessments in relation to sexual harassment, identifying the risks, including power imbalances and the control measures necessary to minimise them.
  - 5.1.10 Promoting a culture of transparency where everyone feels empowered and are encouraged to speak up by providing both formal and informal channels to report sexual harassment without the fear of repercussions.
  - 5.1.11 Creating opportunities for work-place champions to provide support and advice to those who experience or witness sexual harassment.
- 5.2 The Trust and its schools will ensure that amongst its staff, LTC members, and Trustees, reasonable steps will be taken to prevent sexual harassment and pre-emptively educate via:
- 5.2.1 Inductions and contracts of employment and engagement.
  - 5.2.2 Regular mandatory anti-sexual harassment training for all staff, LTC members and Trustees via the Trusts training platform, The National College.
  - 5.2.3 Ensuring this policy is readily available and accessible to all staff and other stakeholders such as LTC members and Trustees; and
  - 5.2.4 Communicating the steps that will be taken in response to a report of sexual harassment and what the possible outcomes may be. For example, warning a colleague about their behaviour, banning the employee from attending events, reporting any criminal acts to the police, or sharing information in line with our statutory duty.
- 5.3 The Trust and its schools will consider at an early stage whether a report of sexual harassment amounts to a potential criminal offence and whether the Police should be informed. Sexual harassment amounting to a criminal offence may include, but is not limited to, sexual assault, indecent exposure, voyeurism, stalking, and offensive communications. The decision to inform the Police will be the employees, unless the Trust or its schools, following consultation with the Trust considers that there is a serious and immediate risk of harm to the employee or another individual. A decision to inform the Police of a report of sexual harassment will not be taken lightly, and we will seek the employee's co-operation in advance of doing so where possible. If the Police do become involved, the Trust or school will liaise with the Police regarding our internal investigation into the report of sexual harassment and any subsequent disciplinary process, in order to prevent any prejudice to any criminal investigation and/or legal proceedings.

## **6 Third parties**

- 6.1 The Trust and its schools will make third parties aware of this policy and ensure that it is readily available and accessible to all.
- 6.2 This policy makes clear that the Trust and its schools will not tolerate sexual harassment of its staff, LTC members and Trustees and sets out the actions that we may take if a third party sexually harasses a staff member, LTC member or Trustee, including speaking with or writing to the alleged third party harasser or their superior (or both) about their behaviour, banning the alleged third party harasser from working within or for the Trust or one of its schools, banning the third party organisation and persons employed by the third party from premises, events, meetings, or contacting employees, terminating any business relationship with the third party, reporting criminal acts to the police (please see section 5.3 of this policy for further detail), or sharing the information in line with any statutory or regulatory duty.
- 6.3 The Trust and its schools will also undertake the following steps to minimise the risk of sexual harassment of its staff, Trustees and LTC members by third parties:
- 6.3.1 Putting up signs in the workplaces such as the central office and within our schools setting out acceptable and unacceptable behaviour.
- 6.3.2 Notifying third parties of the Trusts policy on sexual harassment and requiring them to adhere to it.

## **7 Reporting sexual harassment**

- 7.1 If you are not certain whether an incident or series of incidents amounts to sexual harassment, you should initially contact your line manager informally for confidential advice and support. If the reported concern is about your line manager, you should refer it to the appropriate position at a higher level of management. If this is linked to the Headteacher or Executive Headteacher within school or the CEO in the Central Team, please contact the DDAT Central HR Team via [HR@ddat.org.uk](mailto:HR@ddat.org.uk).
- 7.2 Anyone can disclose sexual harassment, there are various ways to do this:
- Speaking with their Line Manager.
  - Using the Speaking Up for Safety network across the Trust.
  - Speaking with a member of the DDAT Central HR Team.
  - Speaking with mental health first aiders (please note that not all MHFA training will include sexual harassment however, the training for leaders on The National College will support staff in this role).

- Speaking with a trade union representative.

- 7.3 Via any of the processes listed above, the person listening to the reporter of sexual harassment may ask questions to establish the facts and document the answers.
- 7.4 The listener should guide the individual making the report to review sections 8 and 9 of this policy. This will help them understand the available options and decide on the appropriate course of action regarding the matters disclosed.
- 7.5 Should the individual reporting sexual harassment wish to raise a formal complaint, an initial fact-finding document will be provided to the reporter, at their request, if they would prefer to have their reported concerns in writing. The written document may assist the reporter if they would like to avoid having to repeat their concerns verbally throughout the investigation process.

If you witness sexual harassment or victimisation:

- 7.6 Staff, LTC members and Trustees who witness sexual harassment or victimisation are encouraged to take appropriate steps to address it and will be supported where they do so. Depending on the circumstances, this could include:
- 7.6.1 Intervening where you feel able to do so.
  - 7.6.2 Supporting the injured party to report it or reporting it on their behalf.
  - 7.6.3 Reporting the incident where they feel there may be a continuing risk if you do not report it.
  - 7.6.4 Co-operating in any investigation into the incident.
- 7.7 All individuals reporting concerns and witnesses will be provided with appropriate support and will be protected from victimisation.

## **8 Informal steps to address reported concerns of sexual harassment**

- 8.1 If you are being sexually harassed and feel able and safe to do so, you may consider raising the issue initially with the person responsible. This will enable you to have the opportunity to directly explain to the person why their behaviour is unwelcome, considered to be unacceptable, how their behaviour made you feel, and that it is unwanted conduct that you want to stop.
- 8.2 This direct approach is not an expectation of the Trust and its schools who will ensure that support is available if you would prefer not to approach the person responsible directly but would prefer an informal approach such as an appropriate line manager, HR or Trade Union Representative advocating for you.
- 8.3 If informal steps have not been successful or are not possible or is not appropriate, you can raise a formal concern following the procedure detailed in section 9. You



can also raise a formal concern if you want to report sexual harassment as a witness.

## **9 Raising a formal concern of sexual harassment**

- 9.1 If you wish to formally report a concern about sexual harassment, you should inform your line manager, whose role is to ensure that your concern is fully investigated, and further action taken where appropriate. If the reported concern is about that person, you should refer it to the next appropriate position at a higher level of management or to the DDAT Central HR Team at [HR@ddat.org.uk](mailto:HR@ddat.org.uk). Your line manager or appropriate person at a higher level of management or HR will record your reported concern in writing for the investigation process.
- 9.2 If you choose to set out your concerns in a written report as set out in section 7.5, this report should set out full details of the conduct or behaviour in question, including the name of the alleged harasser, the nature of the sexual harassment, the date(s) and time(s) at which it occurred, the names of any witnesses and any action that has been taken so far to attempt to stop it or prevent it from occurring.
- 9.3 Once you have formally reported your concern, it will remain confidential between you and your line manager or appropriate person at a higher level of management or HR until you decide how you want to progress the matter and whether you want the reported concern to progress to a formal investigation.
- 9.4 In general, whilst it is up to you to decide how you want to progress the matter, we have a duty to prevent sexual harassment, to protect all staff, LTC members and Trustees and will pursue the matter if, in all the circumstances, we consider it appropriate to do so. These circumstances may include when there is a risk to your safety or to the safety of others. If the Trust or its schools, after obtaining advice from the DDAT Central HR Team does decide to take further action despite your wishes, we will explain our decision and ensure that we have put in place appropriate safeguards to prevent you from being further harassed or victimised and arrange support to deal with any impact the decision may have on you.

## **10 Formal investigations**

- 10.1 We have a duty to conduct a fair investigation from the perspective of all parties concerned, including the reporter of the concern, any witnesses, and the alleged harasser. It is important that the alleged harasser is given full details of the reported concern made against them as it will otherwise be very difficult for them to fully respond without knowing who has accused them. In exceptional circumstances, where the reporter and/or a witness has a genuine fear of reprisals, an investigator may agree that a written report of concerns of sexual harassment and/or a witness statement can be anonymised.

- 10.2 We will investigate reports of sexual harassment in a timely, respectful and confidential manner. Individuals not involved in the report, or the investigation, should not be told about it. Wherever possible the investigation will be conducted by an appropriate person who is senior to the alleged harasser who has had no prior involvement in the report. An external investigator may be appointed if the circumstances require the organisation to appoint an individual from outside of the organisation to conduct the investigation. The investigation will be thorough, impartial, objective and carried out with sensitivity and due respect for the rights of all parties concerned.
- 10.3 The Trust and its schools will ensure that investigators, and any individual involved in the investigation process, are suitably trained in advance to understand the sensitivities of sexual harassment and are encouraged to take a trauma-based approach to the investigation and decision-making approaches.
- 10.4 We will arrange a meeting with you, usually within five working days of receiving your report, so that you can give your account of events. You have the right to be accompanied by a colleague or a trade union representative of your choice, who must respect the confidentiality of the investigation. The investigator will arrange further meetings with you as appropriate throughout the investigation. Please refer to clause 7.5 regarding the use of an initial fact-finding document for this purpose. As far as it is reasonably possible to do so, you will be kept updated about the timescales for the investigation process by the Investigating Officer. The Investigating Officer will not provide any further detail at this stage regarding the investigation. If the Investigating Officer is an external third party, then your line manager or appropriate person at a higher level of management or HR will liaise with them to see if they can provide you with an update on the timescales for the investigation process.
- 10.5 Where your report is about an employee, we may need to consider whether suspension of the alleged harasser is necessary on full pay, or whether it is necessary to make other temporary changes to working arrangements pending the outcome of a suspension risk assessment, if circumstances require and there is no alternative to suspension. If the Trust or one of its schools, with the advice of the DDAT Central HR Team decides to suspend or make temporary changes to the alleged harasser's working arrangements whilst an investigation process is ongoing this does not constitute disciplinary action against them. Any suspension or temporary changes to working arrangements will be frequently reviewed to consider whether it is necessary and/or proportionate in the circumstances.
- 10.6 The investigator will meet with the alleged harasser who may also be accompanied by a colleague or trade union representative of their choice to hear their account of events. They have a right to be told the details of the reported concerns about them, so that they can respond.

- 10.7 You, the alleged harasser, and any witnesses to any of the incidents and behaviours in the reported concern, will be instructed not to discuss the matter with anyone else unless you or they have been authorised to do so. Confidentiality during the investigation is very important and any breach of confidentiality may lead to disciplinary action.
- 10.8 Where your report is about someone other than an employee, such as a third party e.g., a contractor, visitor, agency staff, LTC member, Trustee, we will consider what action may be appropriate to protect you and anyone involved pending the outcome of the investigation, bearing in mind the reasonable needs of the Trust and its schools and the rights of that person. Where appropriate, we will discuss the matter with the third party.
- 10.9 We will also consider any request that you may make for temporary changes to your own working arrangements during the investigation. For example, you may ask for changes to your duties, location of work or working hours.
- 10.10 At the end of the investigation, the investigator will submit a report to the DDAT Central HR Team nominated to consider the outcome of the investigation and to consider further action required as appropriate.
- 10.11 The DDAT Central HR Team will arrange a meeting with you, usually within one week of receiving the report, in order to discuss the outcome and what action, if any, should be taken. You have the right to bring a colleague or a trade union representative to the meeting.

## **11 Action following the investigation**

- 11.1 If the DDAT Central HR Team considers that sexual harassment occurred, prompt action will be taken in response.
- 11.2 Where the alleged harasser is an employee, the matter may be dealt with as a case of potential misconduct or gross misconduct under the DDAT Disciplinary Policy and associated procedure within.
- 11.3 Where the alleged harasser resigns part way through an investigation or following the investigation, The Trust and its schools, following advice from the DDAT Central HR Team will consider whether it is appropriate or not in the circumstances to proceed to a disciplinary hearing in the alleged harasser's absence. In any event, the line manager or appropriate senior person if against the line manager will arrange a meeting with the person who has reported the concerns to provide an update and to discuss next steps.
- 11.4 Where the alleged harasser is a third party, appropriate action might be speaking or writing to the person and/or their superior/employer about their conduct; or, in appropriate cases, banning the person from the premises or terminating our contract with them/their employer.

- 11.5 Whether or not your report of sexual harassment is upheld, and where the alleged harasser has not been dismissed because of the reported concern, we will consider how best to manage any ongoing working relationship between you and the person concerned. It may be appropriate to arrange some form of facilitated conversation, mediation and/or counselling or to change the duties, working location or reporting lines of one or both parties by agreement.
- 11.6 You will not be disciplined or treated detrimentally merely because you have reported a concern of sexual harassment or if your reported concern was not upheld. If there is evidence that shows or indicates that a staff member has deliberately provided false information or has acted dishonestly as part of an investigation, they may be subject to action under the DDAT Disciplinary Policy which could result in dismissal.

## **12 Appeals**

- 12.1 If the person reporting the concern is not satisfied with the outcome of an investigation they may appeal in writing to their Line Manager/Executive Headteacher/Headteacher or DDAT Central HR Team if relating to the Executive Headteacher/Headteacher, stating their full grounds of appeal, within 5 days of the date on which the decision was sent or given to you.
- 12.2 We will endeavour to hold an appeal meeting, normally within 10 days of receiving your written appeal and providing at least 5 working days' written notice. The appeal hearing will be conducted by a more senior member of staff, Chair of LTC or Chair of the Trust Board who has not previously been involved in the case (although they may ask anyone previously involved to be present). You may bring a colleague or trade union representative to the appeal meeting.
- 12.3 The appeal hearing will not be a re-hearing of all the evidence reviewed during the investigation. It will be a review of the decision-making process that led to the outcome of the investigation.
- 12.4 We will confirm our decision on your appeal in writing, usually within 5 working days of the appeal hearing. This is the end of the internal procedure and there is no further right of appeal.

## **13 Protection and support for those involved**

- 13.1 Any individual who reports a concern of sexual harassment or who participates in any investigation conducted under this policy must not suffer any form of retaliation or victimisation as a result of reporting a concern. Anyone found to have retaliated against or victimised someone in this way may be subject to a disciplinary process, subject to the provisions set out in paragraph 11.6.
- 13.2 Victimisation is subjecting a person to a detriment because they have:

- 13.2.1 complained (whether formally or otherwise) that someone has sexually harassed them or someone else such as another staff member or third party connected to the employer; or
- 13.2.2 supported someone to make a report of sexual harassment; or
- 13.2.3 given evidence in relation to a reported concern of sexual harassment; or
- 13.2.4 brought legal proceedings for sexual harassment under the Equality Act; or
- 13.2.5 given evidence or information in connection with legal proceedings for sexual harassment under the Equality Act

13.3 Victimising a person may include, but is not limited to:

- 13.3.1 Unreasonably denying their requests at work, for example annual leave requests;
- 13.3.2 Unreasonably denying them opportunities at work, for example promotions;
- 13.3.3 Bullying behaviour such as intimidation, mockery, being rude and/or dismissive;
- 13.3.4 Socially isolating them so they do not have the confidence to raise a grievance; or
- 13.3.5 Unfairly dismissing them.

13.4 If you believe you have suffered any such treatment you should inform your line manager or another appropriate senior colleague if about your line manager. If the matter is not remedied to your satisfaction, you can raise it formally using the channel outlined within section 9 of this Policy or via the Grievance Procedure if you wish.

13.5 Anyone found to have retaliated against or victimised someone for making a report of sexual harassment or assisting with an investigation under this policy may be subject to our Disciplinary Procedure.

13.6 If a report is made about your behaviour or conduct, it is important not to automatically dismiss it on the grounds that you were merely joking or that the reporter is being overly sensitive. Recognise that individuals have diverse perceptions of acceptable conduct, and it is the right of every individual to define what is acceptable to them. It is important to respect these boundaries and to contribute to maintaining a work environment that is free from any form of sexual harassment. You may have offended someone without intending to. If that is the

case, the person concerned may be content with an explanation, an apology and an assurance that as you understand the conduct and/or behaviour was unwanted you will be careful in future not to behave in a way that may cause offence.

13.7 We offer access to further support e.g. counselling, which is available on request for anyone affected by, or accused of, sexual harassment. The details are available in confidence from the School Business Officer/School Business Manager or the DDAT Central HR Team. Each school also has at least one Mental Health First Aider, with further colleagues trained within the Central Team.

13.8 Support and guidance can also be obtained from the following external services:

13.8.1 The Equality Advisory and Support Service

([www.equalityadvisoryservice.com](http://www.equalityadvisoryservice.com))

13.8.2 Protect ([www.protect-advice.org.uk](http://www.protect-advice.org.uk))

13.8.3 Victim support ([www.victimsupport.org.uk](http://www.victimsupport.org.uk))

13.8.4 Rape crisis ([www.rapecrisis.org.uk](http://www.rapecrisis.org.uk))

13.8.5 Rights of Women (England and Wales) ([www.rightsofwomen.org.uk](http://www.rightsofwomen.org.uk))

13.8.6 ACAS ([www.acas.org.uk](http://www.acas.org.uk))

13.8.7 Equality and Human Rights Commission

([www.equalityhumanrights.com](http://www.equalityhumanrights.com))

13.8.8 Training courses are also available via the Trusts training platform – The National College

## **14 Training**

14.1 All new staff must attend equity, diversity and inclusion training as part of their induction programme.

14.2 All staff must complete the relevant Preventing Sexual Harassment in the Workplace Training via The National College.

14.3 Every current employee and worker must attend regular equality, diversity and inclusion training on at least an annual basis.

14.4 We expect all our staff to proactively support our equity, diversity and inclusion initiatives by attending events and workshops to educate themselves on the challenges faced by others and how to help prevent and alleviate these issues in the workplace.

## **15 Confidentiality and data protection**

- 15.1 Confidentiality is an important part of the procedures provided under this policy. Everyone involved in the operation of the policy, whether making a report or involved in any investigation, is responsible for observing the high level of confidentiality that is required. Details of the investigation and the names of the person making the complaint and the person accused must only be disclosed on a "need to know" basis. Non-disclosure agreements will be considered where appropriate.
- 15.2 As part of the application of this policy, the Trust and its schools may collect, process and store personal data in accordance with our data protection policy. We will comply with the requirements of Data Protection Legislation (being the UK General Data Protection Regulation and the Data Protection Act 2018) and any implementing laws, regulations and secondary legislation, as amended or updated from time to time. Records will be kept on the employee's personal file in accordance with our Privacy Notice, our Retention and Destruction information and in line with the requirements of Data Protection Legislation. This will include information about a complaint along with a record of the outcome and of any notes or other documents compiled during the process.
- 15.3 As previously stated at section 10.7, a breach of confidentiality may give rise to disciplinary action under our Disciplinary Policy and Procedure.

## **16 Review of this policy**

This policy is reviewed and amended annually by the COO and DDAT Central HR Team in consultation with the recognised trade unions. We will monitor the application and outcomes of this policy on an annual to ensure it is working effectively. This includes but is not limited to the following:

- 16.1 providing refresher training to Line Managers.
- 16.2 providing refresher training to all staff.
- 16.3 conducting 1-2-1s with Line Managers and staff regarding the application and outcomes of the policy.
- 16.4 conducting anonymous staff, LTC member and Trustee surveys for feedback on this policy and its implementation.
- 16.5 Updating this Policy in accordance with changes in the law, regulatory requirements, and best practice.

## Suspension Risk Assessment Template

Allegations of sexual harassment are very serious, and employers have a duty to prevent sexual harassment in their organisation. Suspension from work should not be automatic; all options should be considered prior to making the decision to suspend. Completing this form will record the decision and can be used as evidence should the matter be challenged.

It is recommended, where appropriate, that you speak to the employee about the allegation and ask for a response to the allegation(s) prior to completing. Careful consideration must be given to protecting those who have raised the allegations.

A suspension risk assessment may be completed any number of times in an investigation as new information comes to light that impacts the risk assessment.

<b>Name of employee</b>			
School			
<b>Job Role</b>			
<b>Allegation (give brief description)</b>			
<b>Date of assessment</b>			
Question	Detail	Answer	Rationale for decision
<b>Do you have reasonable grounds to suspend the employee?</b>	1 The employee poses a potential risk of harm to service users/customers (including safeguarding concerns), or a threat to the organisation or employees (including relationship breakdown) or property	Yes/No	
	2 The employee's continued presence at work may make it difficult for the employer to investigate the allegation (for example is there a risk that the employee may destroy evidence or attempt to influence witnesses)	Yes/No	



<b>Are there any reasonable alternatives to suspension?</b>	1	The employee can't be temporarily re-located to another office/location/work from home due to their work or there are no alternative facilities	Yes/No	
	2	The employee cannot be given different duties	Yes/No	
	3	The employee cannot be redeployed within the site (for example so they do not have contact with vulnerable people)	Yes/No	
	4	The employee refuses to agree to such changes and there is no contractual authority to impose the change	Yes/No	

<b>If you have spoken to the employee regarding the allegations, are you satisfied that suspension is the only reasonable course of action?</b>	Yes/No	
---	--------	--

Where you have answered **YES** to all the above questions, it may be appropriate to suspend based on the circumstances. It may also be appropriate if only some of the answers are YES depending on the circumstances

<b>Decision made by:</b>	<b>Name</b>		
	<b>Position</b>		
<b>Signature:</b>			
<b>Advised by:</b>	<b>Name</b>		
	<b>Position</b>		
<b>Review date:</b>			